

THE ICSID CASELOAD — STATISTICS

ISSUE 2022-1

The ICSID Caseload - Statistics

(Issue 2022-1)

This issue of the *ICSID Caseload – Statistics* updates the profile of the ICSID caseload, historically and for the calendar year 2021. It is based on cases registered or administered by ICSID as of December 31, 2021.

This report illustrates: the number of cases registered under the ICSID Convention and Additional Facility Rules; the number of cases administered by ICSID; the basis of consent invoked for ICSID jurisdiction; the geographic distribution of ICSID cases by the State party to the dispute; and the economic sectors involved in ICSID disputes. It also contains data on outcomes in ICSID arbitration and conciliation proceedings, including further information on disputes decided by arbitral tribunals, data on discontinued ICSID arbitration proceedings, and outcomes to date in annulment proceedings under the ICSID Convention. The nationalities, geographic origins and gender of arbitrators, conciliators and *ad hoc* committee members appointed in ICSID cases are also featured.

The Secretariat will continue to publish the *ICSID Caseload – Statistics* on a bi-annual basis and welcomes any comments or suggestions by email at ICSIDsecretariat@worldbank.org.

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The classification of the geographic regions is based on the World Bank's regional system, available at https://www.worldbank.org/en/where-we-work, and also includes World Bank donor countries.

² The economic sector classification is based on the World Bank's sector codes, available at https://projects.worldbank.org/en/projects-operations/projects-sector.

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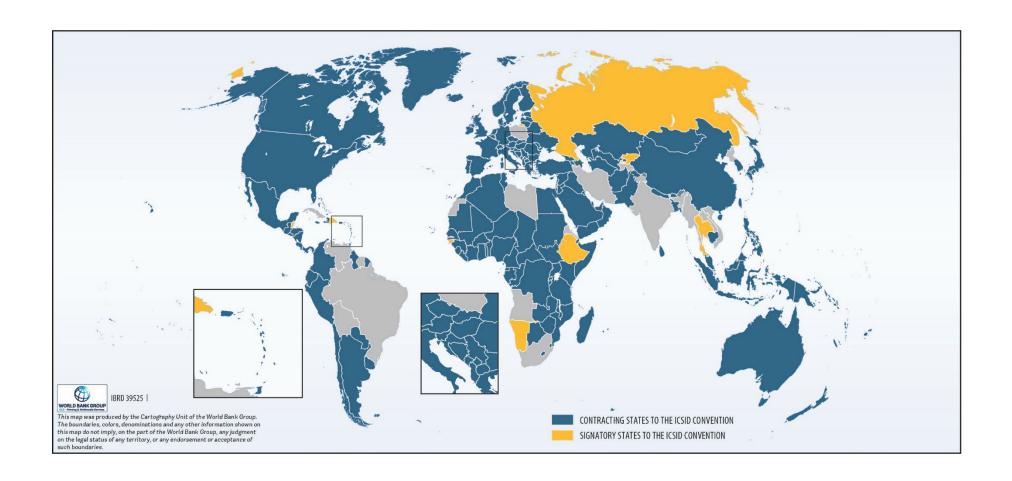


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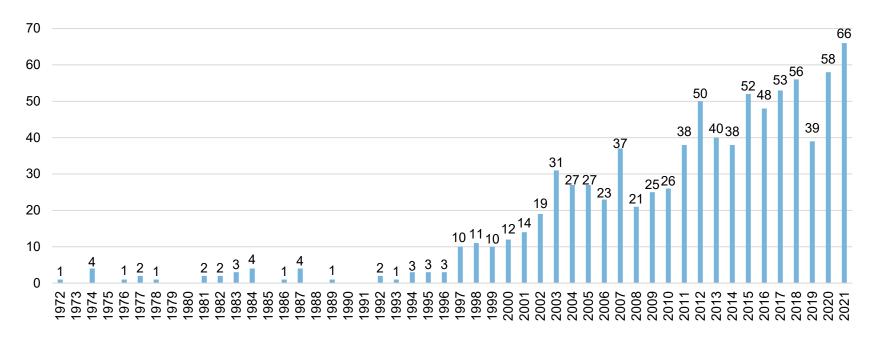
PART I ALL CASES REGISTERED AND ADMINISTERED BY ICSID

1. Map of the Contracting States and Other Signatories of the ICSID Convention as of December 31, 2021



2. Cases Registered by ICSID under the ICSID Convention and Additional Facility Rules

Chart 1: Total Number of ICSID Cases Registered, by Calendar Year



■ Cases Registered under the ICSID Convention and Additional Facility Rules

As of December 31, 2021, ICSID had registered 869 cases under the ICSID Convention and Additional Facility Rules.



Chart 2: Number of ICSID Cases Registered, by Calendar Year

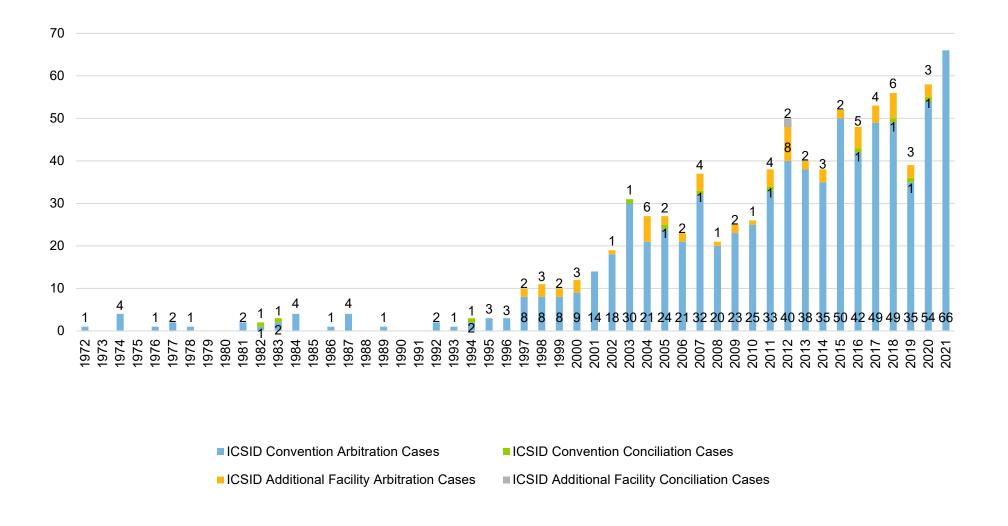
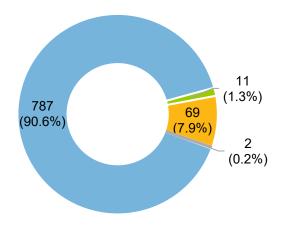


Chart 3: Type of ICSID Cases Registered



- ICSID Convention Arbitration Cases
- ICSID Convention Conciliation Cases
- ICSID Additional Facility Arbitration Cases
- ICSID Additional Facility Conciliation Cases

3. Cases Administered by the ICSID Secretariat under the ICSID Convention, the Additional Facility Rules and Other Rules

The ICSID Secretariat offers administrative support and facilities in State-State and investor-State proceedings conducted under non-ICSID rules. Among the State-State cases administered by ICSID are the *Southern Bluefin Tuna* case (Australia and New Zealand v. Japan), an expert determination proceeding under the Indus Waters Treaty of 1960, and an arbitration under the Softwood Lumber Agreement of 2006 (United States v. Canada).

The Centre also frequently provides administrative assistance to investor-State arbitrations under the UNCITRAL Arbitration Rules, free trade agreements and other *ad hoc* dispute settlement provisions. The Centre's services in these proceedings range from support with the organization of hearings to comprehensive administrative services comparable to those provided in ICSID cases. The Secretariat also acts as appointing authority, and decides proposals for disqualification of arbitrators, upon request. In addition to administering UNCITRAL arbitrations, ICSID has also assisted with the organization of hearings in arbitration proceedings conducted under the auspices of the ICC, LCIA, PCA, SCC, and other institutions.

For further information, please visit the Centre's <u>website</u> or contact the <u>Secretariat</u>.

Chart 4: Number of Non-ICSID Cases Administered by the ICSID Secretariat over the Past Ten Fiscal Years

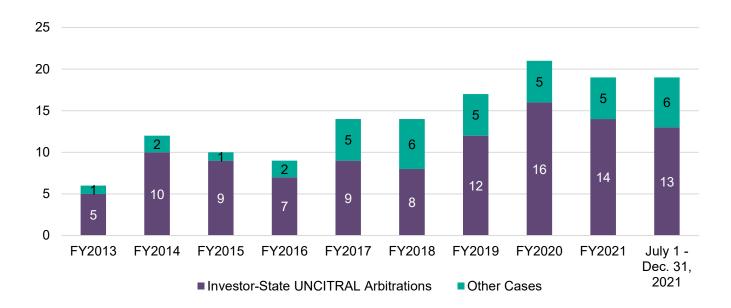
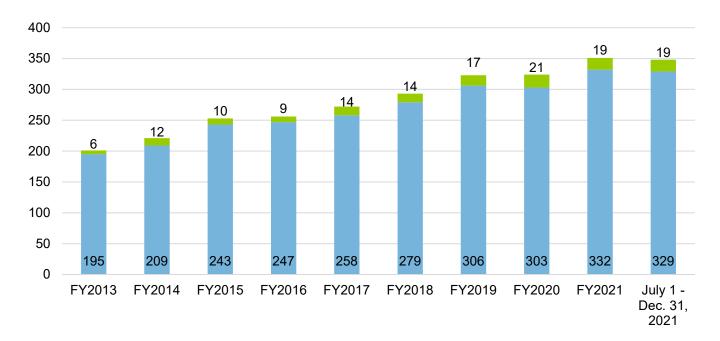


Chart 5: Total Cases Administered by the ICSID Secretariat, under ICSID and Non-ICSID Rules

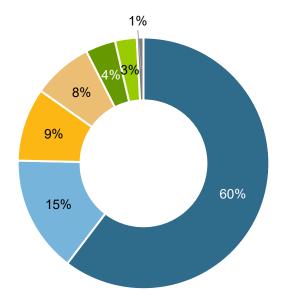


Non-ICSID Cases Administered by the Secretariat (Investor-State UNCITRAL arbitrations and other cases)

■ ICSID Cases Administered by the Secretariat

4. Basis of Consent Invoked to Establish ICSID Jurisdiction in Registered ICSID Cases

Chart 6: Basis of Consent Invoked to Establish ICSID Jurisdiction in Cases Registered under the ICSID Convention and Additional Facility Rules

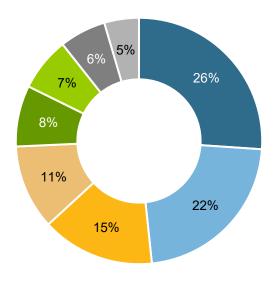


- Bilateral Investment Treaty
- Investment Contract between the Investor and the Host-State
- Energy Charter Treaty
- Investment Law of the Host-State
- Other Treaties*
- North American Free Trade Agreement
- Dominican Republic-United States-Central America Free Trade Agreement

^{*} This category includes the Agreement on Promotion and Reciprocal Protection of Investments in the Member States of the Eurasian Economic Community; the Agreement on Promotion, Protection and Guarantee of Investments among Member States of the Organisation of the Islamic Conference; the ASEAN Agreement for the Promotion and Protection of Investments; the ASEAN-China Investment Agreement; the Canada-Colombia Free Trade Agreement; the Canada-Peru Free Trade Agreement; the Central America-Panama Free Trade Agreement; the Chile-Colombia Free Trade Agreement; the Colombia-Mexico Free Trade Agreement; the Establishment and Honduras Free Trade Agreement; the Colombia-Mexico Free Trade Agreement; the Establishment and Consular Convention between Switzerland and Italy; the Oman-U.S. Free Trade Agreement; the Peru-Singapore Free Trade Agreement; the Treaty on the Eurasian Economic Union; the U.S.-Colombia Trade Promotion Agreement; the U.S.-Mexico-Canada Agreement; the U.S.-Morocco Free Trade Agreement; the U.S.-Peru Trade Promotion Agreement.

5. Geographic Distribution of All ICSID Cases, by State Party Involved

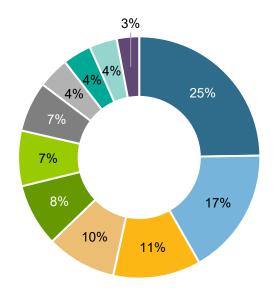
Chart 7: Geographic Distribution of All Cases Registered under the ICSID Convention and Additional Facility Rules, by State Party Involved



- Eastern Europe & Central Asia
- South America
- Sub-Saharan Africa
- Middle East & North Africa
- Western Europe
- South & East Asia & the Pacific
- Central America & the Caribbean
- North America (Canada, Mexico & U.S.)

6. Distribution of All ICSID Cases by Economic Sector

Chart 8: Distribution of All Cases Registered under the ICSID Convention and Additional Facility Rules, by Economic Sector

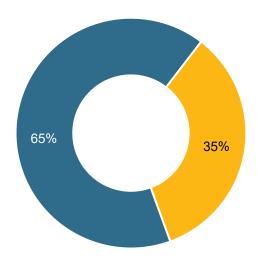


- Oil, Gas & Mining
- Electric Power & Other Energy
- Other Industry
- Construction
- Transportation
- Finance
- Information & Communication
- Water, Sanitation & Flood Protection
- Agriculture, Fishing & Forestry
- Tourism
- Services & Trade



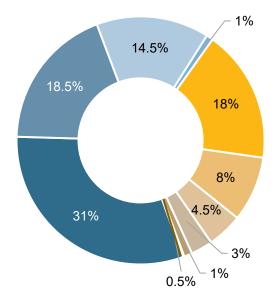
7. ICSID Arbitration Proceedings - Outcomes

Chart 9: Arbitration Proceedings under the ICSID Convention and Additional Facility Rules - Outcomes



- Dispute decided by Tribunal (see charts 9a and 9b)
- Dispute settled or proceeding otherwise discontinued (see charts 9a and 9c)

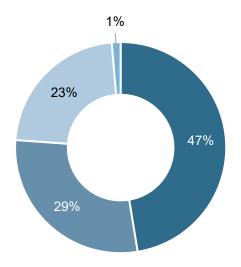
Chart 9a: Arbitration Proceedings under the ICSID Convention and Additional Facility Rules – Tribunal Rulings, Settlement & Discontinuances



- Award upholding claims in part or in full
- Award dismissing all claims
- Award declining jurisdiction
- Award deciding that the claims are manifestly without legal merit
- Proceeding discontinued at the request of both parties
- Proceeding discontinued at the request of one party
- Settlement agreement embodied in an award at parties' request
- Proceeding discontinued for lack of payment of the required advances
- Proceeding discontinued for failure of parties to act
- Proceeding discontinued at the Initiative of the Tribunal

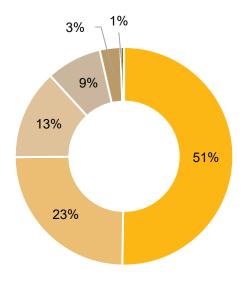
Chart 9b: Disputes Decided by Arbitral Tribunals under the ICSID Convention and Additional Facility Rules

— Findings



- Award upholding claims in part or in full
- Award dismissing all claims
- Award declining jurisdiction
- Award deciding that the claims are manifestly without legal merit

Chart 9c: Disputes Settled or Proceedings Otherwise Discontinued under the ICSID Convention and Additional Facility Rules – Basis



- Proceeding discontinued at the request of both parties [1]
- Proceeding discontinued at the request of one party [2]
- Settlement agreement embodied in an award at parties' request [3]
- Proceeding discontinued for lack of payment of the required advances [4]
- Proceeding discontinued for failure of parties to act [5]
- Proceeding discontinued at the Initiative of the Tribunal [6]

^[6] In accordance with Article 44 of the ICSID Convention.



^[1] ICSID Arbitration Rule 43(1) and Arbitration (Additional Facility) Rule 49(1).

^[2] ICSID Arbitration Rule 44. No case concluded to date on the basis of Arbitration (Additional Facility) Rule 50.

^[3] ICSID Arbitration Rule 43(2) and Arbitration (Additional Facility) Rule 49(2).

^[4] ICSID Administrative & Financial Regulation 14(3)(d).

^[5] ICSID Arbitration Rule 45 and Arbitration (Additional Facility) Rule 51.

8. ICSID Conciliation Proceedings - Outcomes

Chart 10: Conciliation Proceedings under the ICSID Convention and Additional Facility Rules - Outcomes

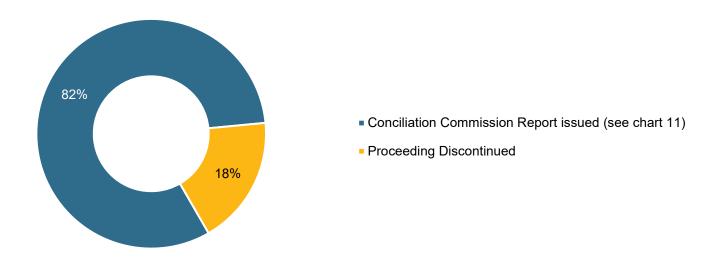
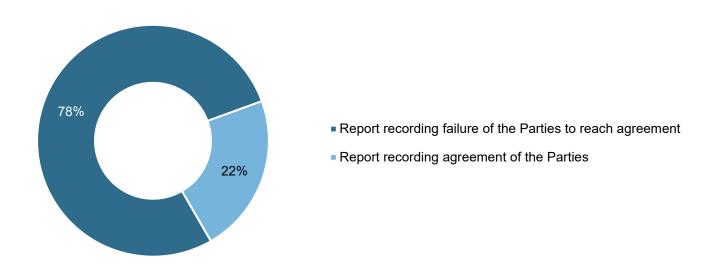
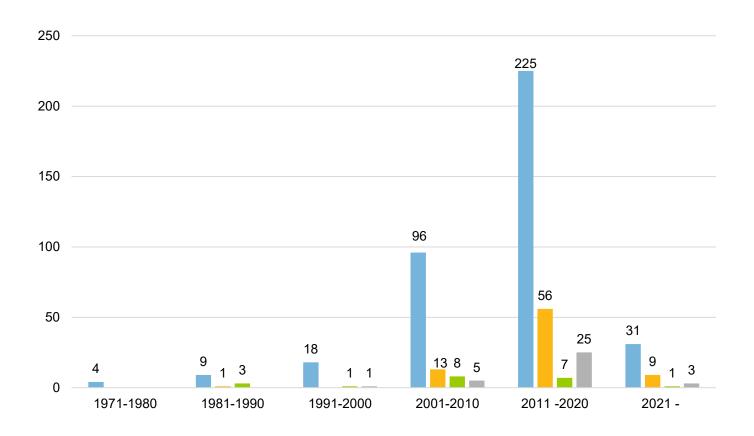


Chart 11: Conciliation Proceedings under the ICSID Convention and Additional Facility Rules – Commission Reports



9. Annulment Proceedings under the ICSID Convention - Outcomes

Chart 12: Awards Rendered and Outcomes in Annulment Proceedings under the ICSID Convention, by Decade



- Number of Convention awards rendered
- Number of decisions rejecting the application for annulment
- Number of decisions annulling the award in part or in full
- Number of annulment proceedings discontinued

10. Arbitrators, Conciliators and ad hoc Committee Members Appointed in ICSID Cases

Chart 13: Arbitrators, Conciliators and ad hoc Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Geographic Region

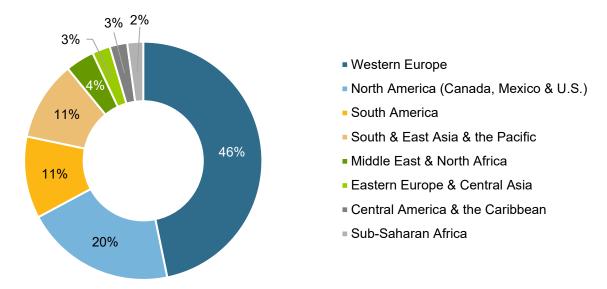


Chart 14: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators) by Geographic Region

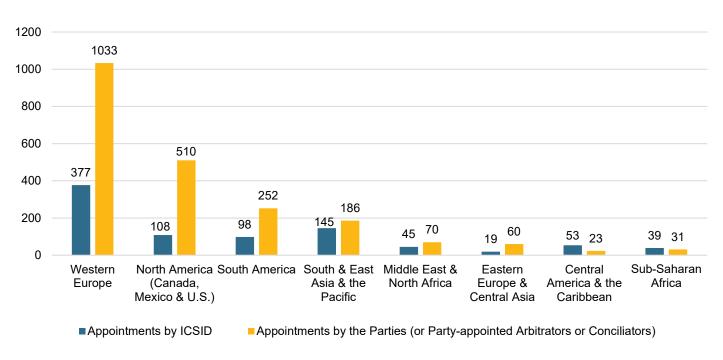




Chart 15: State of Nationality of Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules

Nationality/ Nationalities	As of December 31, 2021
France	294
United States of America	294
United Kingdom	259
Canada	162
Switzerland	150
Spain	141
Germany	113
Australia	111
Argentina	91
Mexico	86
Italy	80
Belgium	75
Chile	67
Netherlands	61
New Zealand	59
Bulgaria	53
Colombia	52
Egypt, Arab Rep. of	40
Costa Rica	39
Singapore	36
Sweden	33
France / Sweden	31
Austria	30
Canada / New Zealand	25
United Kingdom / France	25
Argentina / Spain	21
Finland	21
Brazil	19
Korea, Rep.of	17
Canada / United Kingdom	16
Guatemala	16
Pakistan	16
Switzerland / Brazil	16
China	15
Iran, Islamic Rep. of / France	15
France / Switzerland	14

	As of December
Nationality/ Nationalities	31, 2021
Lebanon / France	14
Slovak Republic	14
Bangladesh	13
Malaysia	13
Morocco	13
Peru	13
Australia / Ireland	12
Germany / Austria	11
Greece	11
Ireland / Germany	11
Nigeria	11
Philippines	11
United States of America / Switzerland	10
Argentina / United States of America	9
Colombia / France	9
Denmark	9
Uruguay	9
Venezuela	9
Bahamas	8
Portugal	8
Somalia	8
United Kingdom / Nigeria	8
United States of America / United Kingdom	8
Ecuador	7
Senegal	7
Thailand	7
Canada / Lebanon	6
India	6
Ireland / United States of America	6
Mexico / Germany	6
New Zealand / United Kingdom	6
Switzerland / Ireland	6
United Kingdom / Italy	6
Guyana	5
Paraguay	5
Peru / Switzerland	5

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Nationality/ Nationalities	As of December 31, 2021
United States of America / France	5
Algeria	4
Argentina / Portugal	4
Barbados	4
Cyprus	4
Iran, Islamic Rep. of	4
Lebanon / Chile	4
Burundi	3
Cameroon	3
Czech Republic	3
Honduras	3
Ireland	3
Israel	3
Jamaica	3
Jordan	3
Serbia	3
South Africa	3
Togo	3
Benin	2
Botswana	2
Chile / United States of America	2
France / Mauritius	2
France / Mauritius / United Kingdom	2
France / Syria	2
Gabon	2
Ghana	2
Italy / Chile / El Salvador	2
Japan	2
Lebanon	2
Madagascar	2
Malawi	2
Malta / South Africa	2
United Kingdom / Germany	2
United Kingdom / Pakistan	2
United States of America / Canada	2
Algerian / French	1
Argentina / Brazil	1
Australia	1

Nationality/ Nationalities	As of December
Australia / Germany	31, 2021
Belgium / United Kingdom	1
Cabo Verde	1
Canada / Ecuador	1
Canada / Italy	1
Canada / Switzerland	1
Central African Republic	1
Croatia	1
Czechoslovakia	1
Dominica	1
Dominican Republic	1
El Salvador	1
France / Lebanon / Ecuador	1
France / New Zealand	1
Israel / Switzerland	1
Kenya	1
Latvia	1
Luxembourg	1
Mexico / United States of America	1
Norway	1
Pakistan / United States of America	1
Peru / Switzerland / Colombia	1
Romania	1
Slovenia	1
Sri Lanka	1
Sudan	1
Turkey	1
United Kingdom / Ghana	1
United Kingdom / Iran, Islamic Rep. Of	1
United Kingdom / Spain	1
United Kingdom / Uganda	1
United States of America / Bulgaria	1
United States of America / Egypt	1
United States of America / New Zealand	1
Uruguay / France	1
Venezuela / Saint Kitts and Nevis	1
Zambia	1



Chart 16: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Gender

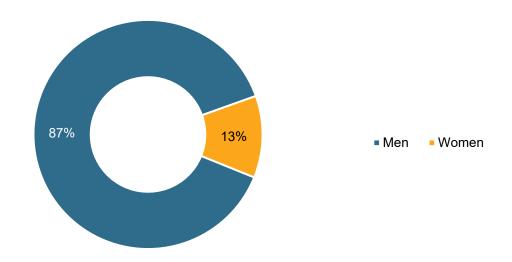
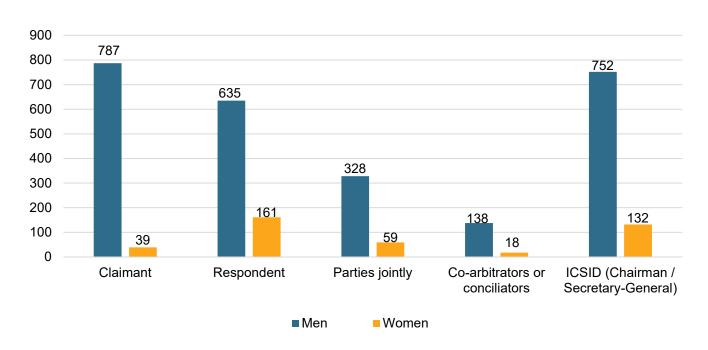


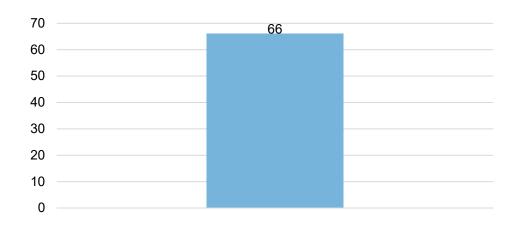
Chart 17: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators), by Gender



PART II CASES REGISTERED AND ADMINISTERED BY ICSID IN 2021

1. New Cases Registered by ICSID in 2021

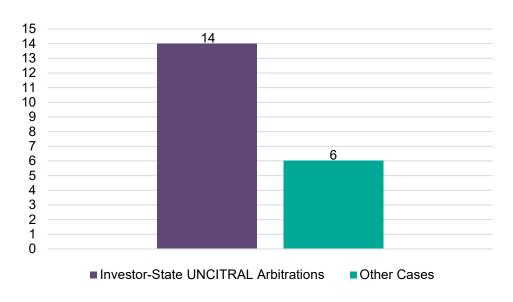
Chart 1: Number of New Cases Registered under the ICSID Convention and Additional Facility Rules in 2021



■ICSID Convention Arbitration Cases

2. Non-ICSID Cases Administered by the ICSID Secretariat in 2021

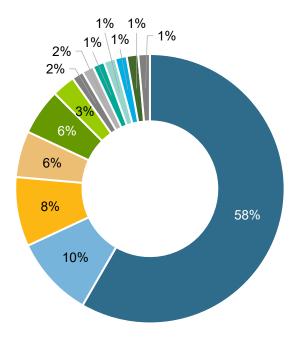
Chart 2: Number of Non-ICSID Cases Administered by the ICSID Secretariat in 2021





3. Basis of Consent Invoked to Establish ICSID Jurisdiction in New Cases Registered in 2021

Chart 3: Basis of Consent Invoked to Establish ICSID Jurisdiction in New Cases Registered in 2021 under the ICSID Convention



- Bilateral Investment Treaty
- Investment Contract between the Investor and the Host-State
- Energy Charter Treaty
- North American Free Trade Agreement
- United States-Mexico-Canada Agreement
- Investment Law of the Host-State
- U.S.-Peru Trade Promotion Agreement
- U.S.-Panama Trade Promotion Agreement
- Colombia El Salvador, Guatemala and Honduras Free Trade Agreement
- Dominican Republic-Central America Free Trade Agreement
- Chile-Colombia Free Trade Agreement
- Central America-Panama Free Trade Agreement
- ASEAN-China Investment Agreement



4. Geographic Distribution of New ICSID Cases Registered in 2021, by State Party Involved

Chart 4: Geographic Distribution of New Cases Registered in 2021 under the ICSID Convention, by State Party Involved

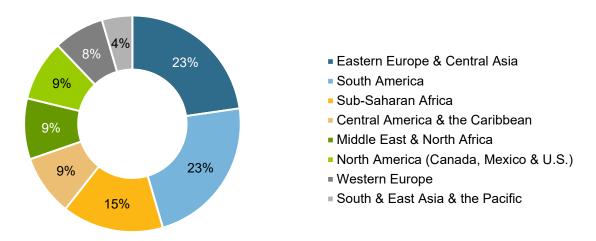
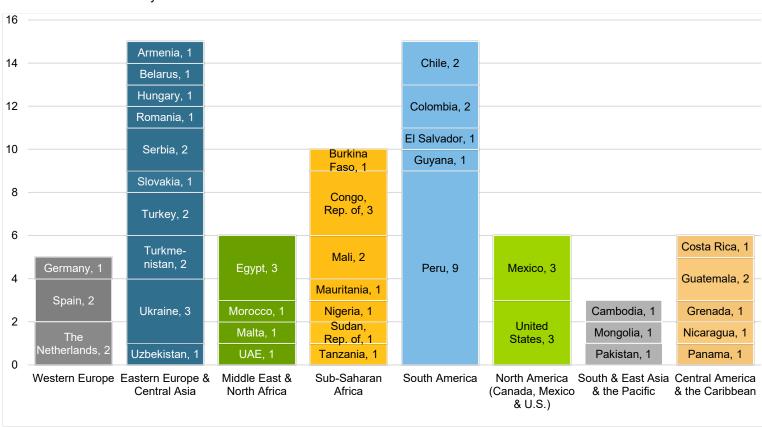
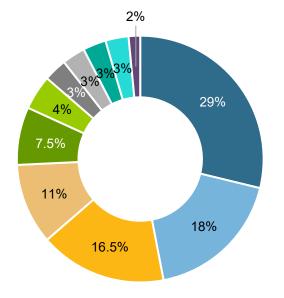


Chart 5: Geographic Distribution of New Cases Registered in 2021 under the ICSID Convention, by State Party Involved – Further Details



5. Distribution of New ICSID Cases Registered in 2021, by Economic Sector

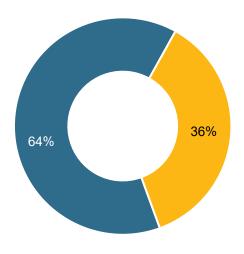
Chart 6: Distribution of New Cases Registered in 2021 under the ICSID Convention, by Economic Sector



- Oil, Gas & Mining
- Electric Power & Other Energy
- Construction
- Information & Communication
- Transportation
- Other Industry
- Finance
- Services & Trade
- Tourism
- Water, Sanitation & Flood Protection
- Agriculture, Fishing & Forestry

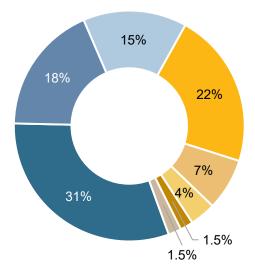
6. ICSID Arbitration Proceedings Concluded in 2021 - Outcomes

Chart 7: Arbitration Proceedings under the ICSID Convention and Additional Facility Rules concluded in 2021 – Outcomes



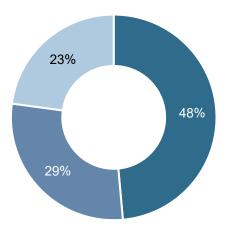
- Dispute decided by Tribunal (see charts 7a and 7b)
- Dispute settled or proceeding otherwise discontinued (see charts 7a and 7c)

Chart 7a: Disputes Decided by Arbitral Tribunals under the ICSID Convention and Additional Facility Rules in 2021 – Tribunal Rulings, Settlement & Discontinuances



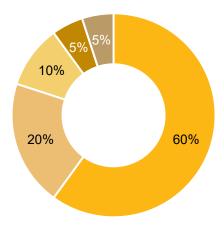
- Award upholding claims in part or in full
- Award dismissing all claims
- Award declining jurisdiction
- Proceeding discontinued at the request of both parties
- Proceeding discontinued for lack of payment of the required advances
- Proceeding discontinued at the request of one party
- Settlement agreement embodied in an award at parties' request
- Proceeding discontinued for failure of parties to act

Chart 7b: Disputes Decided by Arbitral Tribunals under the ICSID Convention and Additional Facility Rules in 2021 – Findings



- Award upholding claims in part or in full
- Award dismissing all claims
- Award declining jurisdiction

Chart 7c: Disputes Settled or Proceedings Otherwise Discontinued under the ICSID Convention and Additional Facility Rules in 2021 – Basis



- Proceeding discontinued at the request of both parties [1]
- Proceeding discontinued for lack of payment of the required advances [2]
- Proceeding discontinued at the request of one party [3]
- Settlement agreement embodied in an award at parties' request [4]
- Proceeding discontinued for failure of parties to act [5]



^[1] ICSID Arbitration Rule 43(1). No case concluded on the basis of Arbitration (Additional Facility) Rule 49(1).

^[2] ICSID Administrative & Financial Regulation 14(3)(d).

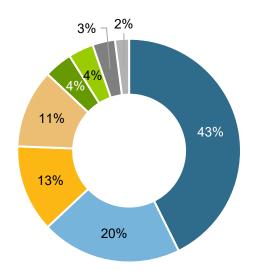
^[3] ICSID Arbitration Rule 44. No case concluded on the basis of Arbitration (Additional Facility) Rule 50.

^[4] ICSID Arbitration Rule 43(2). No case concluded on the basis of Arbitration (Additional Facility) Rule 49(2).

^[5] ICSID Arbitration Rule 45. No case concluded on the basis of Arbitration (Additional Facility) Rule 51.

7. Arbitrators, Conciliators and ad hoc Committee Members Appointed in ICSID Cases in 2021

Chart 8: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2021 in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by Geographic Region



- Western Europe
- North America (Canada, Mexico & U.S.)
- South America
- South & East Asia & the Pacific
- Eastern Europe & Central Asia
- Sub-Saharan Africa
- Central America & the Caribbean
- Middle East & North Africa

Chart 9: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2021 in Cases Registered under the ICSID Convention and Additional Facility Rules – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators) by Geographic Region

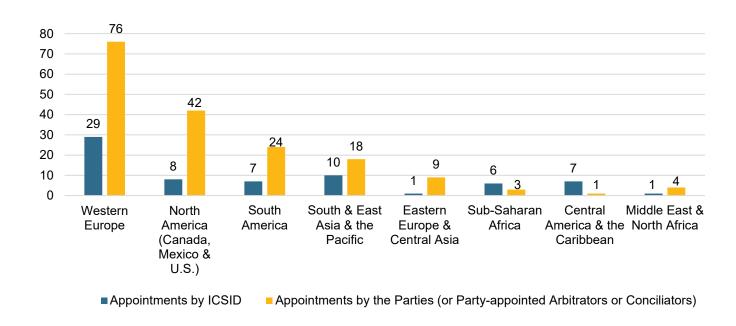




Chart 10: State of Nationality of Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in 2021 in Cases Registered under the ICSID Convention and Additional Facility Rules

Nationality/ Nationalities	As of December 31, 2021
United Kingdom	22
United States of America	21
France	17
Canada	11
Spain	10
Switzerland	9
Argentina	8
Singapore	8
Germany	7
Bulgaria	6
New Zealand	6
Argentina / Spain	5
Austria	5
Colombia	5
Costa Rica	5
Italy	5
Netherlands	5
Argentina / Portugal	4
Australia	4
Belgium	4
Australia / Ireland	3
Canada / New Zealand	3
Chile	3
Sweden	3
United Kingdom / Nigeria	3
Argentina / United States of America	2
Botswana	2
Burundi	2
Finland	2
France / Mauritius / United Kingdom	2
France / Sweden	2

Nationality/ Nationalities	As of December 31, 2021
Iran, Islamic Rep. of / France	2
Italy / Chile / El Salvador	2
Mexico	2
Mexico / Germany	2
Bahamas	1
Brazil / Switzerland	1
Canada / Lebanon	1
Canada / United Kingdom	1
Colombia / France	1
Egypt / United States of America	1
France / Switzerland	1
France / Syria	1
Germany / Austria	1
Guatemala	1
Ireland	1
Ireland / United States of America	1
Jordan	1
Korea, Rep. of	1
Latvia	1
New Zealand / United Kingdom	1
Nigeria	1
Paraguay	1
Slovak Republic	1
Switzerland / Brazil	1
Switzerland / United States of America	1
United Kingdom / Pakistan	1
United Kingdom / Spain	1
United States of America / Bulgaria	1
United States of America / United Kingdom	1
Venezuela / Saint Kitts and Nevis	1



Chart 11: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules in 2021 – Distribution of Appointments by Gender

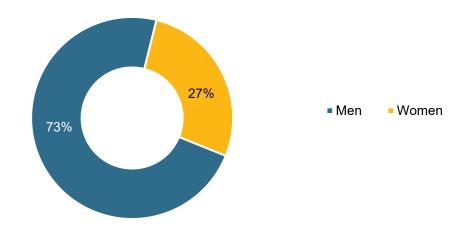
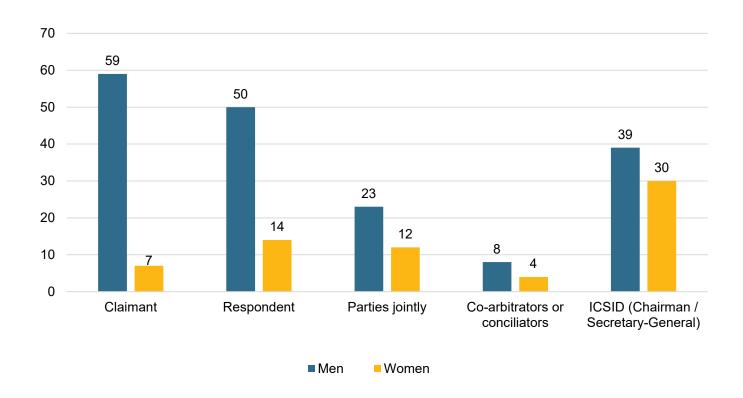


Chart 12: Arbitrators, Conciliators and *ad hoc* Committee Members Appointed in Cases Registered under the ICSID Convention and Additional Facility Rules in 2021 – Distribution of Appointments by ICSID and by the Parties (or Party-appointed Arbitrators or Conciliators), by Gender



ICSID is the world's leading institution devoted to international investment dispute settlement. It has extensive experience in this field, having administered the majority of all international investment cases. States have agreed on ICSID as a forum for investor-State dispute settlement in most international investment treaties and in numerous investment laws and contracts.

ICSID was established in 1966 by the Convention on the Settlement of Investment Disputes between States and Nationals of Other States (the ICSID Convention). The ICSID Convention is a multilateral treaty formulated by the Executive Directors of the World Bank to further the Bank's objective of promoting international investment. ICSID is an independent, depoliticized and effective dispute-settlement institution. Its availability to investors and States helps to promote international investment by providing confidence in the dispute resolution process. It is also available for State-State disputes under investment treaties and free trade agreements, and as an administrative registry.