

Annex to the LCIA Rules

General Guidelines for the Authorised Representatives of the Parties

(Articles 18.5 and 18.6 of the LCIA Rules)

Paragraph 1: These general guidelines are intended to promote the good and equal conduct of the authorised representatives of the parties appearing by name within the arbitration. Nothing in these guidelines is intended to derogate from the Arbitration Agreement or to undermine any authorised representative's primary duty of loyalty to the party represented in the arbitration or the obligation to present that party's case effectively to the Arbitral Tribunal. Nor shall these guidelines derogate from any mandatory laws, rules of law, professional rules or codes of conduct if and to the extent that any are shown to apply to an authorised representative appearing in the arbitration.

Paragraph 2: An authorised representative should not engage in activities intended unfairly to obstruct the arbitration or to jeopardise the finality of any award, including repeated challenges to an arbitrator's appointment or to the jurisdiction or authority of the Arbitral Tribunal where such challenges are known to be unfounded by that authorised representative.

Paragraph 3: An authorised representative should not knowingly make any false statement to the Arbitral Tribunal or the LCIA Court.

Paragraph 4: An authorised representative should not knowingly procure or assist in the preparation of or rely upon any false evidence presented to the Arbitral Tribunal or the LCIA Court.

Paragraph 5: An authorised representative should not knowingly conceal or assist in the concealment of any document (or any part thereof) which is ordered to be produced by the Arbitral Tribunal.

Paragraph 6: During the arbitration proceedings, an authorised representative should not deliberately initiate or attempt to initiate any unilateral contact relating to the arbitration or the parties' dispute with any member of the LCIA Court exercising any function in regard to the arbitration or, from the Arbitral Tribunal's formation onwards, any member of the Arbitral Tribunal or the

tribunal secretary (if any), which has not been disclosed in writing prior to or shortly after the time of such contact to all other parties, all members of the Arbitral Tribunal and the Registrar in accordance with Article 13.4. An authorised representative may, however, have unilateral contact with the Registrar regarding administrative matters.

Paragraph 7: In accordance with Articles 18.5 and 18.6, the Arbitral Tribunal may decide whether an authorised representative has violated these general guidelines and, if so, how to exercise its discretion to impose any or all of the sanctions listed in Article 18.6.